

12-09-04

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 15436.82.1
In Re Application Of: Freeman et al.			
Serial No. 10/697,766	Filing Date October 30, 2003	Examiner Unknown	Group Art Unit 2873
Title: CONTROL SYSTEM FOR ELECTROCHROMIC DEVICES			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
37 CFR 1.97(b)			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<div style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</div>			
OR			
<div style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</div>			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15436.82.1

In Re Application: **Freeman et al.**

Serial No.
10/697,766

Filing Date
October 30, 2003

Examiner
Unknown

Group Art Unit
2873

CONTROL SYSTEM FOR ELECTROCHROMIC DEVICES

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.	
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*This certificate may only be used if paying by deposit account.

R. Burns
Signature

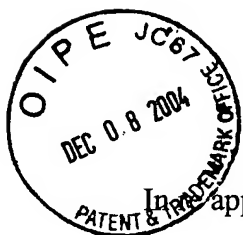
Dated: December 7, 2004

R. BURNS ISRAELSEN
Registration No. 42,685
Customer No. 022913

CC:

EXPRESS MAIL NO. EV524684524US

PATENT APPLICATION
Docket No: 15436.82.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Incident application of

Freeman et al.

Serial No.: 10/697,766

Art Unit
2873

Confirmation No.: 8889

Filed: October 30, 2003

For: CONTROL SYSTEM FOR ELECTROCHROMIC DEVICES

Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

DATED ^{December}~~November~~ 7, 2004.

Respectfully submitted,



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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Freeman et al.**

Docket No.

15436.82.1

Serial No.

10/697,766

Filing Date

October 30, 2003

Examiner

Unknown

Group Art Unit

2873Invention: **CONTROL SYSTEM FOR ELECTROCHROMIC DEVICES**

DEC 08 2004

I hereby certify that this **Information Disclosure Statement; PTO 1449 Form; two (2) references; postcard**
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37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

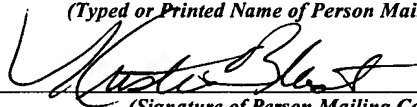
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15436.82.1

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Freeman et al.

October 30, 2003

2873

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

1	Hills, P. PWM Signal Generators, V2.03 [online] July 30, 2002 [retrieved on unknown date]. Retrieved from the Internet: URL: http://homepages.which.net/~paul.hills/Circuits/PwmGenerators/PwmGenerators.html
2	Hills, P. Speed Controllers, V2.04 [online] September 19, 2001 [retrieved on unknown date]. Retrieved from the Internet: URL: http://homepages.which.net/~paul.hills/SpeedControl/SpeedControllersBody.html

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.